



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Donovan et al.

Confirmation No.: 5716

Serial No.: 09/416,101

Art Unit: 2664

Filed: October 12, 1999

Examiner: B. Pham

Title: Customer Resources Policy Control for IP Traffic Delivery

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

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Technology Center 2600

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly and independently considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Brazilai, Handly, and Sinnreich articles listed herein are submitted under 37 C.F.R. § 1.97(e)(1), and are before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, therefore no fee is due. A copy of the International Search Report is enclosed for the Examiner's convenience.

Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

The Stojsic, Salsano, and Flykt articles cited herein are submitted under 37 C.F.R. § 1.97(e)(2), and are before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, therefore no fee is due.

No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

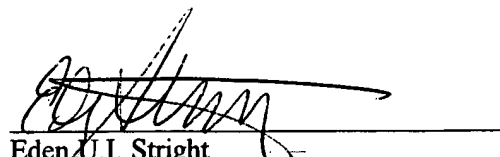
Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

It is respectfully requested that the documents cited in this Information Disclosure Statement be independently considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 13-2491, and please credit any excess fees to such deposit account.

Respectfully submitted,

Date: April 12, 2004


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4-20-04 PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/416,101	
	Filing Date	October 12, 1999	
	First Named Inventor	Donovan et al.	
	Art Unit	2664	
	Examiner Name	B. Pham	
Total Number of Pages in This Submission	4+refs	Attorney Docket Number	RIC99027

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Eden U.I. Stright, Reg. No. 51,205 WorldCom, Inc.
Signature	
Date	April 12, 2004

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
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FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. RIC99027	SERIAL NO. 09/416,101
<div data-bbox="105 300 311 369"> </div> <div data-bbox="326 300 915 310"> LIST OF DOCUMENTS CITED BY APPLICANT </div> <div data-bbox="326 310 915 321"> <i>(Use several sheets if necessary)</i> </div>		APPLICANT(S): Donovan et al.	
		FILING DATE October 12, 1999	GROUP 2664

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Brazilai et al., "Design and Implementation of an RSVP-Based Quality of Service Architecture for Integrated Services Internet", Distributed Computing Systems, 1997, Proceedings of the 17th International Conference on Baltimore, MD, US, May 27-30, 1997, Los Alamitos, CA, US, IEE Computer Soc., US, May 27, 1997, pages 543-551
		Handley et al., "SIP: Session Initiation Protocol", IETF RFC 2543, March 31, 1999, pgs. 7-17, http://www.ietf.org/rfc/rfc2543.txt
		Sinnreich et al., "AAA Usage for IP Telephony with QoS", Internet Draft, January 2001, pages 1-20
		Stojsic et al., "Formal Definition of SIP Proxy Behavior", 2001 IEEE, pages 289-292
		Salsano et al., "QoS Control by Means of COPS to Support SIP-Based Applications", March/April 2002, pgs. 27-33
		Flykt et al., "SIP Services and Interworking with Ipv6", March 2001, pgs. 186-190

EXAMINER

DATE CONSIDERED

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